

Guideline No.: D-02(202402)



# **D-02**

# **WINDLASSES**

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## Foreword

CCS Product Inspection and Testing Guideline (hereinafter referred to as this Guideline) contains the technical requirements, inspection and testing criteria related to classification and statutory survey of marine products to be applied for CCS approval/inspection.

This Guideline frees the users to adopt other test methods and requirements which are equivalent to or are stricter than this Guideline.

This Guideline is published and updated by CCS, and is released at <http://www.ccs.org.cn>. Your comments or suggestions are welcomed and may be sent to our email addressed [mp@ccs.org.cn](mailto:mp@ccs.org.cn).

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### Main changes:

Added the definition of the adjustment of the overload protection and overload protection test requirements

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## WINDLASSES

### 1 Application

1.1 This Chapter applies to windlasses of sea-going ships that are driven electrically or hydraulically or by steam or external forces.

1.2 The “windlass” referred to in this Chapter is to be understood as “windlass and anchor capstan”, where appropriate.

1.3 Windlasses combined with mooring winches are to be referred to 《D03 Mooring Winches》.

### 2 Normative references

2.1 The approval and inspection in this Chapter are to be based on the following documents:

- (1) CCS Rules for Classification of Sea-going Steel Ships,
- (2) CCS Rules for Materials and Welding,
- (3) IACS UR A3 Rev.1 Anchor Windlass Design and Testing,
- (4) ISO 4568-2021 Ships and marine technology - Sea-going vessels - Windlasses and anchor capstans,
- (5) SNAME T & R Bulletin 3-15: 2018 Guide to the Design and Testing of Anchor Windlasses for Merchant Ships
- (6) ISO 7825: 2017 Deck machinery general requirements
- (7) JIS F6714: 1995 Windlasses

### 3 Definitions

3.1 The definitions given in ISO 3828, ISO 4568 and CCS Rules for Classification of Sea-going Steel Ships are applicable to this Chapter.

3.2 For the purpose of this Chapter:

- (1) Chain jumping is a phenomenon in weighing and casting the anchor by a windlass, i.e. one or more links of the chain cable jump(s) in the casting direction due to the chains being not

properly engaged with the cable lifter. Chain jumping will have a large impact on the windlass.

- (2) Chain blocking is a phenomenon in weighing the anchor by a windlass, i.e. the chain cable can not be normally disengaged from the cable lifter in the direction to the chain locker due to the cables being not properly engaged with the cable lifter. Chain blocking will have an impact on the hawse pipe and chain separator.
- (3) The adjustment of the overload protection: refers to the tension value of the chain pulley at the outlet of the chain pulley when the "overload protection" function in 13.2.5.8 of "Rules for Classification of Sea-going Steel Ships" takes effect.

#### **4 Plans and documents**

4.1 The following plans and documents are to be submitted for approval:

- (1) Main performance specifications;
- (2) General assembly;
- (3) Drawings of main parts (main shaft, transmission gearing, cable lifter, clutch, brake, frame and seating);
- (4) Drawing of welded structure;
- (5) Schematic diagrams of main systems (hydraulic system, electrically controlled system) and safety alarm devices;
- (6) Calculations;
- (7) List of physical and chemical properties of main parts;
- (8) test programme(If applicable)

4.2 The following plans and documents are to be submitted for information:

- (1) Main acceptance criteria;
- (2) Product instructions.
- (3) Main technical documents(If applicable)

(4) Product nameplate

## 5 Materials and components

5.1 Materials and components are to comply with relevant requirements of CCS Rules

## 6 Design and technical requirements

6.1 The technical requirements for windlasses are given in Table 6.1.

**Table 6.1**

No.	Items	Technical requirements	Basis of inspection	Remarks
1	Inclination angle of the ship	Heel $\pm 15^\circ$ , roll $\pm 22.5^\circ$ Trim $\pm 5^\circ$ , pitch $\pm 7.5^\circ$	1.2.1.1 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
2	Ambient temperature	$-25^\circ\text{C} \sim 45^\circ\text{C}$	1.2.1.2 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
3	Materials	Pressure components are to be made of steel, no brittle material is allowed	13.2.4 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
		Materials containing asbestos are prohibited for brake band	SOLAS Reg. II-1/3-5	
4	Driving type	Driven by an independent prime mover, the normal work of the hydraulic windlass is not to be affected by other equipment connecting with its pipeline	13.2.5.1 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
		For ships with single anchor weight not exceeding 250 kg, hand-operated windlass may be provided	13.2.5.1 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
5	Working load	Class A1 stud link chains: $37.5 d^2$ (N) Class A2 stud link chains: $42.5 d^2$ (N) Class A3 stud link chains: $47.5 d^2$ (N)	13.2.5.2 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	Anchor casting depth (D) is to be less than 82.5m
		Class A1 stud link chains: $37.5d^2 + (D - 82.5) \times 0.27 d^2$ (N) Class A2 stud link chains: $42.5 d^2 + (D - 82.5) \times 0.27 d_2$ (N) Class A1 stud link chains: $47.5 d^2 + (D - 82.5) \times 0.27 d^2$ (N)	13.2.5.2 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	Anchor casting depth (D) is to be more than 82.5m
6	Overload pull	Not to be less than 1.5 times the working load	13.2.5.2 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
7	Nominal speed	Not to be less than 9 m/min.	13.2.6.3 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
8	Withstand load	45% of breaking load of chain cables	13.2.5.3 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	Fitted with stopper
		80% of breaking load of chain cables	13.2.5.3 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	Not fitted with stopper

**Continued Table 6.1**

No.	Items	Technical requirements	Basis of inspection	Remarks
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9	Driving power	Windlasses are to be capable of working continuously for 30 min under working load.	13.2.5.2(1)of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
		Windlasses are to be capable of working at least for 2 min at reduced speed under overload pull	13.2.5.2(2)of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
		Power-operated windlasses are to be reversible	13.2.5.4 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
10	Chain cables	Stud link chains of 3 strength grades	Section 2, Chapter 10, PART ONE of CCS Rules for Materials and Welding	
11	Cable lifters	The cable lifter is to have at least 5 snugs and must be able to disengage with the driving device	4.2.1 of ISO 4568	
12	Warping ends	The windlass may be designed with or without warping ends, which may be fitted on the intermediate shaft or on the cable lifter shaft. The profile of warping ends is to be in compliance with the relevant standard	4.3.1 of ISO 4568	
13	Clutches	Clutches are to be installed between cable lifter and driving shaft. Power-operated clutches are to be capable of being disengaged manually and to have efficient locking devices	13.2.5.5 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
14	Control braking device	The brake is to be capable of holding a load on the chain cable of 1.5 times the working load of the windlass. Electromagnetic brakes are to have a hand release device	4.6.1 of ISO 4568 2.6.4.1 of PART FOUR of CCS Rules for Classification of Sea-going Steel Ships	Electric windlass
		The brake is to be capable of holding a load on the chain cable of at least 1.3 times the working load of the windlass	4.6.1 of ISO 4568	Driving types other than electric type
15	Brakes	Brakes are to be able to withstand a static pull of 45% of the breaking load of the cable or wire or a maximum static load of the cable. Parts bearing forces will not be permanently deformed and no slip will be found for the brakes	13.2.5.6 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	Fitted with stopper
		Brakes are to be able to withstand a static pull of 80% of the breaking load of the cable or wire. Parts bearing forces will not be permanently deformed and no slip will be found for the brakes	13.2.5.6 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	Not fitted with stopper
16	Stoppers	Chain cable stopper, if fitted, along with its attachments is to be designed to withstand, without any permanent deformation, 80% of the specified minimum breaking strength of the chain cable.	13.2.5.7 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
17	Operating device	The operating device which controls weighing and casting of the anchor is to be able to return to braking or stop position automatically	4.10 of ISO 4568	17

Continued Table 6.1

18	Protection and prevention	Prime movers and transmission gears are to be provided with means for prevention of excessive moment and impact	13.2.5.8 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
		Cable lifter and gearing are to be protected against excessive torque developed by the prime mover	4.8.2 of ISO 4568	
		The lowest protection level for electrical equipment on the exposed deck is IP56	4.11.1 of ISO 4568	
19	Hydraulic system	Relevant requirements in Section 7 of Chapter 2 and Section 7 of Chapter 4 in PART THREE of CCS Rules for Classification of Sea-going Steel Ships are to be complied with	13.2.5.10 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
20	Securing of seating	Relevant requirements in Section 2, Chapter 3, PART TWO of CCS Rules for Classification of Sea-going Steel Ships are to be complied with	13.2.5.12 of PART THREE of CCS Rules for Classification of Sea-going Steel Ships	
21	Electrical control	Relevant requirements in Section 4 of Chapter 3 of PART FOUR of CCS Rules for Classification of Sea-going Steel Ships are to be complied with		

6.2 The stress of windlass parts must be less than the elasticity limit of the material used and comply with the following 3 conditions:

- (1) Under the working load of 6.1.5, the stress of any torque transmitting component shall not exceed 40% of the yield limit of the material;
- (2) when the prime mover acts with maximum set torque, the stress of the affected parts is not to exceed 95% of the yield limit of the material. When the prime mover is an electric motor, the maximum torque value should be based on the maximum pull-out torque in the motor torque-speed characteristic curve. When the prime mover is a hydraulic motor, the maximum torque value is based on the output torque of the hydraulic motor when the hydraulic system safety valve takes off the setting value. For other types of windlasses driven by the prime mover, the maximum output torque value in the torque output characteristics of the prime mover shall prevail.;
- (3) with the action of the withstand load, the stress of the affected parts is not to exceed 95% of the yield limit of the material.

6.3 The strength of brakes is to comply with the following requirements:

- (1) For band type brakes, the pull of tight side and loose side of the brake band are to be calculated according to the following Euler formula:

$$T = t \cdot e^{\mu\alpha}$$

where: pull of tight side of the band:  $T = P \times e^{\mu\alpha} / (e^{\mu\alpha} - 1)$

pull of loose side of the band:  $t = P / (e^{\mu\alpha} - 1)$

circular brake force:  $P = F_{\text{supt}} D_L / D$

where:  $F_{\text{supt}}$  – withstand load;

$D_L$  – working diameter of cable lifter;

$D$  – diameter of brake boss;

$\mu$  – friction coefficient of brake band;

$\alpha$  – brake band contact wrap angle;

$e$  – base of natural logarithm.

- (2) The maximum specific pressure of the brake band is to be calculated according to the pull of the tight side of the band, and the result is to be less than the permissible specific pressure of the material of the brake band.
- (3) For other types of brakes, the strength is to be checked by recognized calculation methods.
- (4) The stress of parts under force such as steel brake band, tight side pin shaft, tight side pull rod, loose side pin shaft and brake screw is to comply with the requirements of 2.6.2(4) of this Chapter.

6.4 The strength of bolts securing the seating is to comply with the following requirements:

- (1) The forces acting on the bolts and thrust plates securing the windlass to the deck are to be calculated.
- (2) The axial forces and combined shear forces of the bolt group (or bolts) are to be calculated according to the formula given in 3.2.5.5 and 3.2.5.6 of PART TWO of CCS Rules for Classification of Sea-going Steel Ships.
- (3) The safety factor corresponding to bolt strength is not to be less than 2.0.

## 7 Type test

### 7.1 Selection of typical samples

The type test of the first set of the same Specifications is to be carried

### 7.2 The type test items are to include the following:

- (1) no-load operation test of the whole set;
- (2) working load test;

- (3) control braking device test;
- (4) overload pull test;
- (5) Overload protection test (load limiting device test);
- (6) operational test of brakes;
- (7) brake withstand load test (may be dispensed with, see 2.7.3(7));
- (8) hydraulic system test, if applicable;
- (9) function test of other devices, if applicable;
- (10) dismantling after test.

### 7.3 Methods and requirements for type test:

#### (1) No-load operation test of the whole set

- ① The windlass is to run without load for 30 min at a speed not less than the nominal speed, with 15 min for each rotating direction. After 30 min test, the windlass is to change the speed as soon as possible, with 5 min for each speed and each rotating direction.
- ② Oil sealing and bearing temperature rise are to be examined during the test, with all moving parts running normally without abnormal noise.

#### (2) Working load test

- ① Chain cable is to be installed at the wrap angle for actual installation on board, the cable lifter clutch is to be turned on and the cable lifter brake loosened.
- ② The working load is to be raised and lowered continuously at the nominal speed for 30 min, and an emergency stop is to be tested to check the reliability of emergency stop.
- ③ During the test, nominal speed and electric motor current (or pressure of the hydraulic system) are to be measured and engagement of chain cable with cable lifter, oil sealing and bearing temperature rise are to be examined. All moving parts are to run normally without abnormal noise, chain jumping and chain blocking.

#### (3) Control braking device test

- ① The electrical windlass is to raise a load 1.5 times the working load to a height more than 2 m at low speed of the electric motor and then with the power supply being cut off, the control braking device is to brake the motor immediately without slip of the load.
- ② The hydraulic windlass is to raise a load 1.3 times the working load to a height more than 2 m and then with the control handle of the hydraulic motor being moved to the intermediate position, the control braking device is to brake the hydraulic motor with slip of the load not exceeding 1 m/min.

(4) Overload pull test

- ① The windlass is to work for 2 min continuously with 1.5 times the working load at reduced speed.
- ② During the test, electric motor current (or pressure of the hydraulic system) is to be measured and engagement of chain cable with cable lifter, oil sealing condition and bearing temperature rise are to be examined. All moving parts are to run normally without abnormal noise, chain jumping and chain blocking.

(5) Overload protection test (load limiting device test)

Adjust the weight of the load weight by 90% and 100% of the corresponding windlass tension value when the overload protection is in action, test and verify the function of the overload protection and record the weight value of the load weight when the overload protection function is in effect. In any case, this value shall not be higher than the corresponding windlass tension value when the maximum torque determined in 6.2(2) is applied. For windlasses with electric motors as prime movers, the "overcurrent-time" tripping characteristics shall not be deemed to meet the requirements of 13.2.5.8 and 13.2.5.9 of the Rules for Classification of Sea-going Steel Ships.

(6) Operational test of brakes

- ① A load 1.5 times the working load is to be applied on the chain cable, the brake operated tightly and the cable lifter clutch disengaged.
- ② There is to be no slip of the load and no permanent deformation of parts under force.

(7) Brake withstand load test

- ① The brake is to be operated tightly and disengaged from the cable lifter clutch, and a withstand load is to be applied appropriately on the chain cable for 2 min.

- ② There is to be no slip of the load and no permanent deformation of parts under force.
- ③ The holding power of the brake is to be verified either through testing or by calculation

(8) Hydraulic system test

- ① Pressure test, tightness test, performance test of safety valves (relief valves) and test of protective functions are to be carried out for pipelines of the hydraulic system of hydraulic windlasses.
- ② Pressure test is to be carried out for pipelines of the hydraulic system to 1.5 times the design pressure (but not exceeding design pressure plus 7 MPa) before assembly.
- ③ Tightness test is to be carried out for the hydraulic system to 1.25 times the design pressure (but not exceeding design pressure plus 7 MPa) after assembly.

(9) Function test of other devices

- ① The operation of any remote control or other special devices of the windlass, if fitted, is to be examined.

(10) Dismantling after test

- ① The contact of gear pair (or worm gear pair), main shaft and its bearings, open gear pair, brake band and its brake boss is to be examined for abnormal abrasion and obvious damage.
- ② The quality of oil of the gear box is to be examined and the cleanness is to comply with the requirements of related standards.

## **8 Unit/batch inspection**

### 8.1 Inspection items

- (1) The inspection of windlasses is to include examination of documents, inspections and function tests during manufacturing process.
- (2) Inspections during manufacturing process are mainly to include material tests, non-destructive tests of main parts (if required), examination of quality of manufacturing and assembly of parts.

(3) Windlasses are to be categorized for their structural types, specifications and grades. The type test of the first set is to be carried out according to 2.7 of this Chapter. The test of subsequent products is to be carried out according to test items for unit/batch inspection after approval.

8.2 The records or reports submitted by the manufacturer are at least to include the following:

- (1) Quality certificates and/or reports of reexamination of physical and chemical properties of materials of main parts processed at the manufacturer.
- (2) Qualification certificates and related certificates of main purchased parts.
- (3) Inspection, measurement and test means of the manufacturer, together with a list of test and inspection equipment used and copies of valid calibration certificates.
- (4) Test report of the manufacturer, covering product or sample type, specification, serial number, test location and date, test environment, test items, test data, problems revealed in the test and examination and description of how the problems are handled, and test conclusions.

8.3 The test items for unit/batch inspection after approval:

- (1) no-load operation test of the whole set;
- (2) working load test;
- (3) control braking device test;
- (4) overload pull test;
- (5) Overload protection test (load limiting device test);
- (6) operational test of brakes;
- (7) hydraulic system test, if applicable;
- (8) function test of other devices, if applicable.

8.4 Where the design of a windlass is changed after type test, with chain cables having the same (or lower) specification and grade and construction being the same as the original type or main components being kept, related test items may be reduced in CCS inspection.

8.5 If the manufacturer does not have adequate test facilities for a large windlass with working load of 300 kN or over, no-load test may be carried out and other test items are to be carried out on board.

8.6 If the test with an associated hydraulic pump station has not been carried out by the manufacturer for hydraulic windlasses, a flow conversion description for the pump station is to be provided to demonstrate that the actual speed of the windlass complies with the relevant requirements.

8.7 During unit/batch inspection, if the manufacturing factory has completed the factory test one by one, the surveyor can select one unit of the same model from each batch for inspection.