



GUIDANCE NOTES
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CHINA CLASSIFICATION SOCIETY

**GUIDELINES ON SURVEY AND
CERTIFICATION FOR BALLAST
WATER MANAGEMENT OF SHIPS**

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CONTENTS

CHAPTER 1 GENERAL	1
1.1 Objective and basis documents	1
1.2 Application	1
1.3 Definitions	2
1.4 Requirements of Ballast Water Management Plan and Ballast Water Record Book	3
1.5 General requirements of ballast water discharge management for ships	3
1.6 Installation requirements of BWMS on board ship	4
CHAPTER 2 SURVEY FOR SHIPS	6
2.1 General requirements	6
2.2 Application for survey	7
2.3 Initial survey	8
2.4 Annual survey	10
2.5 Intermediate survey	11
2.6 Renewal survey	11
2.7 Additional survey	11
Appendix 1 FORM OF INTERNATIONAL BALLAST WATER MANAGEMENT CERTIFICATE	12
Appendix 2 FORM OF INTERNATIONAL BALLAST WATER MANAGEMENT STATEMENT OF COMPLIANCE	19

CHAPTER 1 GENERAL

1.1 Objective and basis documents

1.1.1 The Guidelines are intended to provide guidance to implement the relevant requirements of survey and certification in Section E of the International Convention for the Control and Management of Ship's Ballast Water and Sediments, 2004 (hereinafter referred to as the BWM Convention) adopted by the International Maritime Organization (IMO).

1.1.2 The Guidelines are developed mainly on the basis of the following IMO documents. The subsequent amendments to these documents are to be taken into account when applying the Guidelines:

- (1) International Convention for the Control and Management of Ship's Ballast Water and Sediments, 2004 and its amendments;
- (2) 2016 Guidelines for Approval of Ballast Water Management Systems (G8) (MEPC.279(70));
- (3) Code for Approval of Ballast Water Management Systems (BWMS code) (MEPC.300(72));
- (4) Guidelines for Ballast Water Sampling (G2) (MEPC.173(58));
- (5) Survey Guidelines under the Harmonized System of Survey and Certification, 2021 (A.1156(32));
- (6) Guidance for Commissioning Testing of Ballast Water Management Systems, 2020 (BWM.2/Circ.70/Rev.1);
- (7) Applicability of Ballast Water Management Convention to the Water in the Hopper Area of Hopper Dredgers (BWM.2/Circ.32);
- (8) Unified Interpretations to the BWM Convention (BWM.2/Circ.66/Rev.3);
- (9) Guidance on Ballast Water Sampling and Analysis for Trial Use in accordance with the BWM Convention and Guidelines (G2) (BWM.2/Circ.42/Rev.2);
- (10) Clarification of "major conversion" as Defined in Regulation A-1.5 of the BWM Convention (BWM.2/Circ.45);
- (11) Application of the BWM Convention to Mobile Offshore Units (MOUs) (BWM.2/Circ.46);
- (12) Guidance on Entry or Re-entry of Ships into Exclusive Operation within Waters under the Jurisdiction of a Single Party (BWM.2/Circ.52/Rev.1);
- (13) Guidance on Contingency Measures under the BWM Convention (BWM.2/Circ.62).

1.1.3 Special provisions of the flag State Administrations and port State authorities are to be taken into account, if any.

1.2 Application

1.2.1 The Guidelines apply to surveys for all ships engaged on international voyages and intended for uptaking and discharging ballast water which are subject to BWM Convention when applying for issuance and/or endorsement of the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC).

1.2.2 Unless otherwise provided by the flag State Administrations, for the purpose of survey and certification specified in Regulation E-1 and E-2 of the BWM Convention, the MOUs to which the BWM Convention applies are also to meet the relevant requirements in accordance with the explanation on Application of the BWM Convention to Mobile Offshore Units (MOUs) (BWM.2/Circ.46), and are subject to survey and issuance of the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) in accordance with the requirements of Regulations E-1 and E-2 in the BWM Convention.

1.2.3 The BWM Convention is not to apply to:

- (1) ships not designed or constructed to carry ballast water;
- (2) ships of a Party which only operate in waters under the jurisdiction of that Party;
- (3) ships of a Party which only operate in waters under the jurisdiction of another Party, subject to the authorization of the latter Party for such exclusion;
- (4) ships which only operate in waters under the jurisdiction of one Party and on the high seas;
- (5) any warship, naval auxiliary or other ship owned or operated by a State and used, for the time being, only on government non-commercial service.

1.2.4 The BWM Conventions is also not to apply to:

- (1) Permanent ballast water in sealed tanks on ships that is not subject to discharge;
- (2) The water in the hopper area of hopper dredgers not used for the purpose of controlling ship's trim, list, stability and stresses, and also not regarded as the water carried on board, so such water are not considered

as ballast water. Therefore, the relevant requirements in the BWM Convention are not applicable to such water in the hopper area of hopper dredgers^①.

(3) In the case of the discharge of ballast water carried in cargo oil tanks of oil tankers specified in Regulation 18.3.2 of MARPOL Annex I, it may be regarded as an exceptional discharge, which is not subject to the BWM Convention.

1.3 Definitions

1.3.1 For the purposes of the Guidelines, the following definitions apply, in addition to those specified in the BWM Convention and other relevant Guidelines:

(1) **The BWM Convention** means the International Convention for the Control and Management of Ships' Ballast Water and Sediments, 2004.

(2) **Ballast water management system (BWMS)** means any system which processes ballast water such that it meets or exceeds the ballast water performance standard in Regulation D-2. The BWMS includes ballast water treatment equipment, all associated control equipment, piping arrangements as specified by the manufacturer, control and monitoring equipment and sampling facilities. BWMS does not include the ship's ballast water fittings, which may include piping, valves, pumps, etc., that would be required if the BWMS was not fitted.

(3) **Ballast Water Management Plan (BWMP)** means a document referred to in Regulation B-1 of the BWM Convention describing the ballast water management process and procedures implemented on board individual ships.

(4) **Ballast Water** means water with its suspended matter taken on board a ship to control trim, list, draught, stability or stresses of the ship.

(5) **Sediments** mean matter settled out of Ballast Water within a ship.

(6) **Ballast Water Management** means mechanical, physical, chemical, and biological processes, either singularly or in combination, to remove, render harmless, or avoid the uptake or discharge of harmful aquatic organisms and pathogens within ballast water and sediments.

(7) **Ship** means a vessel of any type whatsoever operating in the aquatic environment and includes submersibles, floating craft, floating platforms, FSUs and FPSOs.

(8) **Major conversion**^② means a conversion of a ship:

- .1 which changes its ballast water carrying capacity (means the capacity of ballast water tanks) by 15 percent or greater; or
- .2 which changes the ship type which means substantial change of ship's dimensions or carrying capacity; or change of cargo type carried through a major alteration of the ship; or
- .3 which, in the opinion of the Administrations, is projected to prolong its life by ten years or more; or
- .4 which results in modifications to its ballast water system other than same component replacement-in-kind. Conversion of a ship to meet the provisions of Regulation D-1 is not to be deemed to constitute a major conversion.
- .5 new installation of BWMS in order to meet the provisions of Regulation D-2 is not to be treated as a major conversion.

(9) **Constructed** in respect of a ship means a stage of construction where:

- .1 the keel is laid; or
- .2 construction identifiable with the specific ship begins; or
- .3 assembly of the ship has commenced comprising at least 50 tonnes or 1 percent of the estimated mass of all structural material, whichever is less; or
- .4 the ship undergoes a major conversion.

(10) **Ballast water capacity** means the total volumetric capacity of any tanks, spaces or compartments on a ship used for carrying, uptaking or discharging Ballast Water, including any multi-use tank, space or compartment designed to allow carriage of Ballast Water.

(11) **Active substance** means a substance or organism, including a virus or a fungus, that has a general or specific action on or against harmful aquatic organisms and pathogens.

(12) **New ship** means a ship constructed on or after 8 September 2017.

(13) **Existing ship** means a ship which is not a new ship.

① Refer to IMO Applicability of the Ballast Water Management Convention to Hopper Dredgers (BWM.2/Circ.32).

② Refer to IMO Clarification of "Major Conversion" as Defined in Regulation A-1.5 of the BWM Convention (BWM.2/Circ.45)

1.4 Requirements of Ballast Water Management Plan and Ballast Water Record Book

1.4.1 Each ship to which the BWM Convention applies is to have on board and implement a Ballast Water Management Plan (BWMP) approved by the Administration or an authorized recognized organization (RO) according to Regulation B-1 of the BWM Convention. The plan is to be prepared taking into account the Guidelines for Ballast Water Management (G4) developed by IMO (MEPC.127(53), as amended by MEPC.306(73)) or CCS Guidelines for Development of Ship’s Ballast Water Management Plan.^①

1.4.2 The BWMP approved in accordance with the IMO Resolution A.868(20) can remain valid after 8 September 2017 until the BWMP requires revision and reapproval due to the installation of a BWMS on board. The revision and reapproval of a BWMP is to be carried out in accordance with the requirements of the Guidelines for Ballast Water Management (G4) developed by IMO (MEPC.127(53), as amended by MEPC.306(73)) or CCS Guidelines for Development of Ship’s Ballast Water Management Plan.

1.4.3 Each ship to which the BWM Convention applies is to have on board a Ballast Water Record Book (BWR) in the form of Appendix II of the BWM Convention according to the provisions of Regulation B-2 of the BWM Convention. The Ballast Water Record Book may be an electronic record system^② or may be integrated into another record book or system.

1.4.4 Unless otherwise provided by the Administrations, the BWMP and the Ballast Water Record Book specified in 1.4.1 and 1.4.3 are still to be available and maintained on board a ship which the BWM Convention is applied to, but is exempted by the Administrations according to the provisions of Regulation A-4 in the BWM Convention.

1.5 General requirements of ballast water discharge management for ships

1.5.1 The timetable for implementation of ballast water performance standard (the standard in Regulation D-2, also called D-2 Standard) is to be in compliance with Table 1.5.1 below:

Table 1.5.1 Timetable for implementation of D-2 standard

Categories of Ships		Date of enforcement of D-2 standard
New ships	Constructed on or after 8 September 2017	At the delivery of the ship
Existing ships (constructed before 8 September 2017)	(1) The IOPP certificate renewal survey is completed on or after 8 September 2014 but prior to 8 September 2017	At the first IOPP certificate renewal survey on or after 8 September 2017
	(2) The first IOPP certificate renewal survey is completed on or after 8 September 2017 but prior to 8 September 2019 (excluding the ships described in (1))	At the second IOPP certificate renewal survey on or after 8 September 2017
	(3) The first IOPP certificate renewal survey is completed on or after 8 September 2019 (excluding the ships described in (1))	At the first IOPP certificate renewal survey on or after 8 September 2019
	(4) Ships constructed prior to 8 September 2017 to which the IOPP certificate renewal survey does not apply,	At the date determined by the Administrations but no later than 8 September 2024

1.5.2 Prior to the enforcement date of D-2 standard specified in Table 1.5.1, at least the standard in Regulation D-1 (D-1 standard) is to be complied with. The shipowner can voluntarily apply for implementation of D-2 standard in advance.

1.5.3 The BWMS installed on board ships in order to meet D-2 standard is to meet the following requirements:

(1) The BWMS installed on board ships on or after 28 October 2020 is to be type approved in accordance with the 2016 Guidelines for Approval of Ballast Water Management System (G8) (2016 Guidelines (G8)) adopted by the Resolution MEPC.279(70) or the Code for Approval of Ballast Water Management System (BWMS Code) (adopted by Resolution MEPC.300(72), as may be amended);

(2) The BWMS installed on board ships prior to 28 October 2020 is to be type approved in accordance with the Guidelines (G8) adopted by Resolution MEPC.174(58), or the 2016 Guidelines (G8) adopted by the Resolution MEPC.279(70) or the BWMS Code;

(3) The word “installed” mentioned in (1) and (2) above means the contractual date of delivery of the BWMS to the ship. In the absence of such a date, it means the actual delivery date of BWMS to the ship^③.

① Refer to CCS Guidelines for Development of Ship’s Ballast Water Management Plan (2022).

② Refer to the Guidelines for the Use of Electronic Record Books adopted by IMO by resolution MEPC.312(74).

③ Refer to Unified Interpretations to the BWM Convention (BWM.2/Circ.66/Rev.3).

1.5.4 Where ships select to use only the method of discharging ballast water to receiving facilities as the routine ballast water management method, it may replace D-1 or D-2 standard when all of the following conditions are to be met:

- (1) Ships operate in specific areas or between specified ports, and adequate ballast water reception facilities are available at ports of call or terminals for ships;
- (2) The quantity, time and port name of ballast water discharging into reception facilities are to be recorded in the Ballast Water Record Book;
- (3) The management method is to be indicated in the approved Ballast Water Management Plan (BWMP);
- (4) The management method is to be indicated in the International Ballast Water Management Certificate (IBWMC) or International Ballast Water Management Statement of Compliance (SOC) (where applicable).

1.5.5 Where ships use other acceptable methods of ballast water management, they may replace D-1 or D-2 standard when all of the following conditions are to be met:

- (1) The other methods used are to be approved by IMO or agreed between relevant port states;
- (2) The other methods used are to be indicated in the approved Ballast Water Management Plan (BWMP);
- (3) The method is to be indicated in the International Ballast Water Management Certificate (IBWMC) or International Ballast Water Management Statement of Compliance (SOC) (where applicable);
- (4) Any ballast water operation information (such as uptake, treatment, discharge, exchange, etc.) is to be recorded in the Ballast Water Record Book.

1.5.6 Where ships use equivalent measures described in Regulation A-5 for ballast water management, they may replace D-1 or D-2 standard when all of the following conditions are to be met:

- (1) The equivalent measure used is to comply with relevant provisions of the Administrations;
- (2) The equivalent measure used is to be indicated in the approved Ballast Water Management Plan (BWMP);
- (3) The equivalent measure is to be indicated in the International Ballast Water Management Certificate (IBWMC) or International Ballast Water Management Statement of Compliance (SOC) (where applicable);
- (4) Any ballast water operation information (such as uptake, treatment, discharge, exchange, etc.) is to be recorded in the Ballast Water Record Book.

1.5.7 Where ships are engaged in international voyages without ballast water in the ballast tank, this may replace D-1 or D-2 standard when all of the following conditions are to be met:

- (1) The ballast tank is to be cleaned prior to the voyage to eliminate the remaining ballast water and sediments on board the ship;
- (2) Such ballast water management method is to be indicated in the approved Ballast Water Management Plan (BWMP);
- (3) The method is to be indicated in the International Ballast Water Management Certificate (IBWMC) or International Ballast Water Management Statement of Compliance (SOC) (where applicable);
- (4) The Ballast Water Record Book is to be kept on board the ship and maintained.

1.5.8 Where ships select to use only the method of uptaking and discharging ballast water at the same location where the whole of that ballast water originated without mixing with unmanaged ballast water from other areas as the routine ballast water management method, the following conditions are to be met:

- (1) The ballast water management method is to be indicated in the approved Ballast Water Management Plan (BWMP);
- (2) The method is to be indicated in the International Ballast Water Management Certificate (IBWMC) or International Ballast Water Management Statement of Compliance (SOC) (where applicable);
- (3) The Ballast Water Record Book is to be kept on board the ship and maintained.

1.5.9 Where ships are granted with an exemption to the requirements of ballast water management by the Administrations, relevant exemption certificates or supporting documents are to be kept on board the ship and all conditions and requirements for the exemption are to be met.

1.6 Installation requirements of BWMS on board ship

1.6.1 Ships intend to install the BWMS to meet D-2 standard are to meet the following requirements:

- (1) The BWMS is to be accompanied by sampling facilities as described in the Guidelines on Ballast Water Sampling (G2) developed by IMO, so arranged in order to collect representative samples of the ship's ballast water discharge.
- (2) Suitable bypasses or overrides to protect the safety of the ship and personnel are to be installed and used in the event of an emergency and these are to be connected to the BWMS so that any bypass of the BWMS can activate an alarm. The bypass event is to be recorded by control and monitoring equipment and within the ballast water record book.
- (3) The requirement in (2) above does not apply to internal transfer of ballast water within the ship (e.g. anti-heeling operations). For BWMS that transfer water internally which may affect compliance by the ship

with D-2 standard (i.e. circulation or in-tank treatment), the recording in (2) is to identify such internal transfer operations.

1.6.2 Relevant requirements of ballast water management system specified in Chapter 26, PART EIGHT of CCS Rules for Classification of Sea-going Steel Ships are also to be met for ships with CCS class on which a BWMS is intended to be installed.

CHAPTER 2 SURVEY FOR SHIPS

2.1 General requirements

2.1.1 The surveys of ballast water management of ships include initial survey, annual survey, intermediate survey, renewal survey and additional survey as follows:

(1) An initial survey is to be carried out before the ship is put in service or before the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) is issued for the first time. This survey is a complete inspection and test of the Ballast Water Management Plan and any associated structure, equipment, systems, fitting, arrangements and material or processes to verify that they comply fully with the requirements of the BWM Convention. This survey shall confirm that commissioning test has been conducted to validate the installation of any ballast water management system (BWMS) by demonstrating that its mechanical, physical, chemical and biological processes are working properly, taking into account the guidelines^① developed by IMO.

(2) An annual survey is to be carried out within three months before or after each Anniversary date of the due date of the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC). The annual survey includes a general inspection of the structure, any equipment, systems, fittings, arrangements and material or processes associated with the Ballast Water Management Plan to ensure that they have been maintained and remain satisfactory for the service for which the ship is intended. Such annual survey is to be endorsed on the Certificate or Statement.

(3) An intermediate survey is to be carried out within three months before or after the second Anniversary date or within three months before or after the third Anniversary date of the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC), which is to take the place of one of the annual surveys. The intermediate survey is to ensure that the equipment, associated systems and processes for Ballast Water Management fully comply with the applicable requirements of the BWM Convention and are in good working order. Such intermediate survey is to be endorsed on the Certificate or Statement.

(4) A renewal survey is to be carried out for the renewal of the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) when it is expired. The interval of this survey is to be specified by the flag State Administration, but not exceeding five years. This survey is to verify that the Ballast Water Management Plan and any associated structure, equipment, systems, fitting, arrangements and material or processes are fully comply with the applicable requirements of the BWM Convention.

(5) An additional survey is to be carried out after a change, replacement, or significant repair of the structure, equipment, systems, fittings, arrangements and material necessary to achieve full compliance with the requirements of the BWM Convention. Such survey either general or partial, according to the circumstances, is to be carried out to ensure that any such change, replacement, or significant repair has been effectively made, so that the ship complies with the requirements of the BWM Convention. When an additional survey is undertaken for the installation of any ballast water management system onboard the ship, this survey shall confirm that a commissioning test has been conducted to validate the installation of the ballast water management system (BWMS) by demonstrating that its mechanical, physical, chemical and biological processes are working properly, taking into account the guidelines^② developed by IMO.

2.1.2 According to the provisions of Regulation E-5.3 and Regulation E-5.5 of the BWM Convention, the period of validity of certificate may be extended in the following cases:

(1) If a Certificate is issued for a period of less than five years, and if it is permitted by the Administration, the period of validity of the Certificate may be extended beyond the expiry date to the maximum period for 5 years provided that the intermediate survey applicable when a Certificate is issued for a period of five years is carried out as appropriate;

(2) If a ship at the time when the Certificate expires is not in a port in which it is to be surveyed, and if it is permitted by the Administration, the period of validity of the Certificate may be extended, but this extension is to be granted only for the purpose of allowing the ship to complete its voyage to the port in which it is to be surveyed, and then only in cases where it appears proper and reasonable to do so. When

① Refer to the Guidance for Commissioning Testing of Ballast Water Management Systems, 2020 (BWM.2/Circ.70/Rev.1), as may be amended.

② Refer to the Guidance for Commissioning Testing of Ballast Water Management Systems, 2020 (BWM.2/Circ.70/Rev.1), as may be amended.

the renewal survey is completed, the new Certificate is to be valid to a date not exceeding five years from the date of expiry of the existing Certificate before the extension was granted.

(3) A Certificate issued to a ship engaged on short voyage which has not been extended under the above-mentioned provisions, and if it is permitted by the Administration, a period of grace of up to one month from the date of expiry stated on it. When the renewal survey is completed, the new Certificate is to be valid to a date not exceeding five years from the date of expiry of the existing Certificate before the extension was granted.

2.1.3 For the purpose of the commissioning test requirements described in 2.1.1(1), 2.1.1(5) and 2.3.3 (12) of the Guidelines, compulsory implementation is not required until the date of entry into force of the provisions on commissioning test in the BWM convention, unless otherwise expressly provided by the flag State Administration. It may be implemented at the option of ship owners or ballast water management system manufacturers on a voluntary basis.

2.1.4 The survey and certification are to be carried out based on the application from applicants (shipowners, shipyards, etc.) for complying with the standards in Regulation D-1 and/or Regulation D-2, provided that the requirements of BWM Convention are met.

2.1.5 Under the authorization of the flag State Administrations or requested by shipyards/shipowners, CCS is to issue an International Ballast Water Management Certificate (IBWMC) or an International Ballast Water Management Statement of Compliance (SOC) for ships after completion of an initial survey conducted in accordance with the Guidelines and verified to be in compliance with the requirements of BWM Convention.

2.1.6 The International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) is to be drawn up, in the forms specified in Appendix 1 and Appendix 2 of the Guidelines respectively, in the official language of the issuing country. If the language used is neither English, French nor Spanish, a translation into one of these languages is to be included. For a ship entitled to fly Chinese flag, the certificate is to be in both Chinese and English languages.

2.2 Application for survey

2.2.1 The applicant is to submit a written service agreement for survey to CCS, with the following documents:

(1) Ship particulars:

- 1) Name of ship;
- 2) Distinctive number or letter;
- 3) Port of registry;
- 4) Gross tonnage;
- 5) IMO number;
- 6) Date of construction;
- 7) Ballast water capacity (in m³).

(2) For new ships:

- 1) the relevant drawings and documents of hull structures in relation to the ballast water system required in 2.1.3, Chapter 2, PART TWO of CCS Rules for Classification of Sea-going Steel Ships;
- 2) the relevant drawings and documents of machinery installations in relation to the ballast water system required in 2.1.2, Chapter 2, PART THREE of CCS Rules for Classification of Sea-going Steel Ships;
- 3) the relevant drawings and documents of electrical installations in relation to the ballast water system required in 1.1.3, Chapter 1, PART FOUR of CCS Rules for Classification of Sea-going Steel Ships;
- 4) Ballast Water Management Plan (for ships installed BWMS, including the copy of type approval certificate for BWMS, model and treatment capacity, working principles and operation instructions of BWMS, etc.);
- 5) arrangement of BWMSs or prototype ballast water treatment technologies (if Regulation D-2 or D-4 applies);
- 6) arrangement of ventilation for BWMS space (if applicable);
- 7) other drawings and documents deemed necessary by CCS, including short-circuit current calculations, electrical equipment of explosion-proof type and list of arrangement (if applicable).

(3) For ships in-service (including existing ships):

- 1) for any modification or conversion involving classed hull, machinery installations and electrical installations, the drawings and documents related to such modification are to be submitted to CCS for approval or for information.
- 2) for ships in-service (including existing ships) intending to install BWMS or prototype ballast water treatment technologies, the following drawings and documents are to be submitted for approval:

- a. Ballast Water Management Plan (including the copy of type approval certificate for BWMS, model and treatment capacity, working principles and operation instructions of BWMS, etc.);
 - b. arrangement of BWMSs or prototype ballast water treatment technologies;
 - c. drawings of ballast water piping system;
 - d. arrangement of ventilation for BWMSs space;
 - e. general arrangement of air pipes, sounding devices and overflow devices (if applicable);
 - f. diagram of fresh water, steam or compressed air piping systems;
 - g. plan of strengthened hull structures;
 - h. electrical loading evaluation;
 - i. diagram of power system;
 - j. arrangement of electrical equipment in engine/pump rooms;
 - k. diagram of lighting system and emergency lighting system (if applicable);
 - l. arrangement of electrical equipment in dangerous areas (if applicable);
 - m. diagram of electrical system for ballast water management unit;
 - n. verification information of intrinsically safe circuits (if applicable);
 - o. other drawings and information deemed necessary by CCS.
- 3) For the ships in-service (including existing ships) intending to install BWMS, the following drawings and documents are to be submitted for information:
- a. light weight and the position of center of gravity after the BWMS is modified, or the added weight and position of center of gravity after modification;
 - b. other drawings and information deemed necessary by CCS.
- 4) For the ships in-service (including existing ships) not intending to install BWMS, the following drawings and documents are to be submitted for approval:
- a. Ballast Water Management Plan;
 - b. other drawings and information deemed necessary by CCS.

2.3 Initial survey

2.3.1 Check of drawings and designs is to include:

- (1) For new ships, checking that the drawings and documents required in 2.2.1(1) and (2) have been examined;
- (2) For the ships in-service, checking that the drawings and information required in 2.2.1(1) and (3) have been examined.

2.3.2 The survey during construction and after installation is to include:

- (1) confirming that the approved BWMP and the Ballast Water Record Book (BWRB) required in the BWM Convention have been provided;
- (2) verifying that, if applicable, the prototype ballast water treatment technology installation has been carried out in accordance with the approved programme^① and that the workmanship of the installation is satisfactory; and confirming that, if applicable, a statement of compliance for a prototype ballast water treatment technology has been provided;
- (3) confirming that the BWMS(s) installed on or after 28 October 2020 are approved in accordance with the BWMS Code, as may be amended; and confirming that the BWMS(s) installed before 28 October 2020 are approved taking into account the guidelines^② developed by the Organization or the BWMS Code, as may be amended.

2.3.3 When the performance standard described in regulation D-2 of the BWM convention is applicable, the survey after installation of BWMS (also applicable for installations on existing ships) is to consist of:

- (1) confirming that the installed BWMS is provided with the type approval certificate (TAC); for the ships classed with CCS, also confirming that the installed BWMS is provided with the product certificate issued by CCS;
- (2) confirming that a statement has been provided by the Administration, or by a laboratory authorized by the Administration, confirming that the electrical and electronic components of the BWMS(s) have been type-tested in accordance with the specifications for environmental testing contained in part 3 of the annex of the applicable^③ Guidelines for approval of ballast water management systems (G8); for the ships classed with CCS, also confirming that product approval has been carried out on the electrical and electronic

① Refer to the Guidelines for Approval and Oversight of Prototype Ballast Water Treatment Technology Programmes adopted by IMO in MEPC.140(54).

② Refer to resolutions MEPC.125(53), MEPC.174(58) or MEPC.279(70).

③ Applicable to the BWMS type-approved in accordance with resolutions MEPC.125(53) or MEPC.174(58).

components of the installed BWMS(s) in accordance with the requirements of CCS Rules for Classification of Sea-going Steel Ships;

(3) confirming that the equipment manuals for major components of the BWMS(s) in accordance with the relevant resolution^① have been provided;

(4) confirming that an operational and technical manual for the BWMS, specific to the ship and approved by the Administration or its recognized organization, containing a technical description of the BWMS, operational and maintenance procedures, and backup procedures in case of equipment malfunction^②, or an operation, maintenance and safety manual of the BWMS has been provided;

(5) confirming that installation commissioning procedures, initial calibration procedures^③ and installation specifications, e.g. installation drawing, piping and instrumentation diagrams, for the BWMS have been provided;

(6) confirming that a valid calibration certificate is provided on board in accordance with the relevant resolution^④;

(7) confirming that sampling facilities are provided and arranged such as to collect representative samples of the ship's ballast water from the BWMS(s) intake(s), or from a location before the ballast discharge points and any other points necessary for sampling as applicable^⑤;

(8) verifying that the BWMS has been installed in accordance with the technical installation specifications and the complete BWMS has been installed;

(9) verifying that the BWMS is in conformity with the Type Approval Certificate of BWMS issued by the Administration or its representative;

(10) verifying that any operational inlets and outlets are located in the positions indicated on the drawings of pumping and piping arrangements;

(11) confirming that the workmanship of the installation is satisfactory, and in particular, that any bulkhead penetrations or penetrations of the ballast system piping are to the relevant approved standards;

(12) verifying that, if applicable^⑥, installation commissioning procedures have been completed and verifying that the commissioning testing of the BWMS has been carried out after installation in accordance with the applicable guidelines developed by IMO^⑦;

(13) verifying in accordance with the relevant resolution^⑧ that the control and monitoring equipment operates correctly;

(14) confirming that, if applicable, the ballast water management recording device(s) are operable and that there is a sufficient supply of consumables for the recording device(s) on board;

(15) confirming the satisfactory installation and operation of the BWMS, including any audible or visual alarms;

(16) confirming that, if applicable,^⑨ the suitable bypasses or overrides to protect the safety of the ship and personnel are installed and used in the event of an emergency and these are to be connected to the BWMS so that any bypass of the BWMS is to activate an alarm. The bypass event is to be recorded by the control and monitoring equipment and within the ballast water record book;

(17) confirming that, if applicable, sufficient active substances are provided on board, and confirming that, if applicable, dosage instructions for active substances or preparations are available on board.

2.3.4 After the satisfactory initial survey:

(1) An International Ballast Water Management Certificate (IBWMC) is to be issued for a ship of a Party by CCS under its authorization to carry out survey and certification.

(2) An International Ballast Water Management Statement of Compliance (SOC) is to be issued to a ship other than those specified in (1) above.

2.3.5 The International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) may be filled in accordance with CCS Instructions to Surveyors, unless otherwise expressly provided by the Administration.

① Only applicable to the BWMS type-approved in accordance with resolutions MEPC.125(53) or MEPC.174(58).

② Only applicable to the BWMS type-approved in accordance with resolutions MEPC.125(53) or MEPC.174(58).

③ Only applicable to the BWMS type-approved in accordance with resolution MEPC.125(53) or MEPC.174(58).

④ Applicable to the BWMS type-approved in accordance with resolutions MEPC.125(53), MEPC.174(58), MEPC.279(70) or the BWMS Code.

⑤ Refer to resolutions MEPC.125(53), MEPC.174(58), MEPC.279(70) or the BWMS Code, where applicable.

⑥ Only applicable to the BWMS type-approved in accordance with resolution MEPC.279(70) or BWMS Code.

⑦ Refer to BWM.2/Circ.70/Rev.1 on 2020 Guidance for the commissioning testing of ballast water management systems.

⑧ Only applicable to the BWMS type-approved in accordance with resolution MEPC.125(53) or MEPC.174(58).

⑨ Only applicable to the BWMS type-approved in accordance with resolution MEPC.279(70) or BWMS Code.

2.4 Annual survey

2.4.1 The examination of current certificates and other records are to consist of:

- (1) checking the validity, as appropriate, of the Cargo Ship Safety Equipment Certificate, the Cargo Ship Safety Radio Certificate and the Cargo Ship Safety Construction Certificate or the Cargo Ship Safety Certificate or Passenger Ship Safety Certificate;
- (2) checking the validity of the International Loadline Certificate or International Loadline Exemption Certificate;
- (3) checking, when appropriate, the validity of the International Oil Pollution Prevention (IOPP) Certificate, the International Sewage Pollution Prevention (ISPP) Certificate and the International Air Pollution Prevention (IAPP) Certificate; confirming, when appropriate, the validity of the International Energy Efficiency Certificate and the Statements of Compliance related to fuel oil consumption reporting, and that Confirmation of compliance for the SEEMP Part II is provided and retained on board the ship;
- (4) checking the validity of the International Ship Security Certificate;
- (5) checking, when appropriate, the validity of Safety Management Certificate (SMC), and that a copy of the Statement of Compliance is on board;
- (6) checking the certificates of class, if the ship is classed with a classification society;
- (7) checking, when appropriate, the validity of the International Certificate of Fitness for the Carriage of Liquefied Gas in Bulk;
- (8) checking, when appropriate the validity of the International Pollution Prevention Certificate for the Carriage of Noxious Liquid Substances in Bulk (NLS);
- (9) checking that the ship's complement complies with the Minimum Safe Manning Document(SOLAS74/88 Reg.V/13(b));
- (10) checking that the masters, officers and ratings are certified as required by the Convention on Standards of International Training, Certification and Watchkeeping for Seafarers (STCW Convention);
- (11) checking whether any new equipment has been fitted and if so, confirming it has been approved before installation and that any changes are reflected in the certificate;
- (12) checking whether the appropriate entries have been made in the Ballast Water Record Book (BWR);
- (13) confirming that the BWMP is on board;
- (14) sighting the type test certificate for BWMS (when the standard in Regulation D-2 is applicable);
- (15) sighting the records of BWMS recording device (when the standard in Regulation D-2 is applicable);
- (16) sighting, if applicable, the Statement of Compliance for a Prototype Ballast Water Treatment Technology (when Regulation D-4 is applicable);
- (17) verifying that there is evidence that the Prototype Ballast Water Treatment Technology is continuing to be operated in accordance with the approved programme (when Regulation D-4 is applicable);
- (18) confirming that a calibration check has been performed in accordance with the approved manual and the relevant resolution^① (when the standard in Regulation D-2 is applicable);

2.4.2 The examination or confirmation for the following items is to consist of:

- (1) the provisions of 2.7 of the Guidelines, where applicable; otherwise the following (2) to (5) are to be performed:
- (2) examining externally the ballast water treatment system and confirming its satisfactory operation (when the standard in Regulation D-2 is applicable);
- (3) confirming that the active substances in accordance with the manufacturer's recommendations are provided on board (when the standard in Regulation D-2 is applicable);
- (4) confirming that dosage instruction for active substance or preparations are available on board (when the standard in Regulation D-2 is applicable);
- (5) examining externally the prototype ballast water treatment technology and confirming its satisfactory operation (when Regulation D-4 is applicable).

2.4.3 The completion of annual survey is to consist of:

- (1) After a satisfactory survey, the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) is to be endorsed;
- (2) If a survey shows that the condition of a ship or its equipment is unsatisfactory, corrective action is to be taken immediately and it is to be reported to the Administration and the port State Authority (if the ship is in a port of another Party).

^① Applicable to the BWMS type-approved in accordance with resolutions MEPC.125(53), MEPC.174(58), MEPC.279(70) or MEPC.300(72).

2.5 Intermediate survey

2.5.1 The current certificates and other records required for the annual survey in 2.4.1 are to be checked;

2.5.2 Examination or confirmation is to consist of:

(1) items required for the annual survey in 2.4.2;

(2) examining the ballast water management system for obvious defects, deterioration or damages including examining associated pumps, piping and fittings for wear and corrosion (when the standard in Regulation D-2 is applicable).

2.5.3 The completion of intermediate survey is to consist of:

(1) After a satisfactory survey, the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) is to be endorsed correspondingly;

(2) If a survey shows that the condition of a ship or its equipment is unsatisfactory, corrective action is to be taken immediately and it is to be reported to the Administration and the port State Authority (if the ship is in a port of another Party).

2.6 Renewal survey

2.6.1 The current certificates and other records required for the annual survey in 2.4.1 are to be checked, except for the validity of the International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC).

2.6.2 Check/inspection is to consist of:

(1) All items required for the intermediate survey in 2.5.2;

(2) confirming, by simulated test or equivalent, the satisfactory operation of prototype ballast water treatment technologies (when Regulation D-4 is applicable).

2.6.3 The completion of renewal survey is to consist of:

(1) After a satisfactory survey, a new International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) is to be issued.

2.7 Additional survey

2.7.1 Additional survey as follows is to be carried out after a change, replacement or significant repair of any structure, equipment, systems, fittings, arrangements and materials necessary to achieve full compliance with the BWM Convention:

(1) examining plans for the arrangement of the BWMS or prototype ballast water treatment technologies (if Regulation D-2 or D-4 is applicable); and

(2) implementing the provisions in 2.3.2(2), 2.3.2(3) and 2.3.3 of the Guidelines.

2.7.2 After a satisfactory survey, a new International Ballast Water Management Certificate (IBWMC) or the International Ballast Water Management Statement of Compliance (SOC) is to be issued (where applicable).

Appendix 1
《国际压载水管理证书》格式

**FORM OF INTERNATIONAL BALLAST WATER
MANAGEMENT CERTIFICATE**

国际压载水管理证书

INTERNATIONAL BALLAST WATER MANAGEMENT CERTIFICATE

经_____政府授权，

（国家/地区全称）

由中国船级社根据《国际船舶压载水和沉淀物控制与管理公约》（此后称为“本公约”）的规定签发。

Issued under the provisions of the International Convention for the Control and Management of Ships' Ballast Water and Sediments (hereinafter referred to as "the Convention") under the authority of the Government of

.....
(full designation of the country or region)

by China Classification Society.

船舶细节^①

Particulars of ship

船名.....
Name of ship

船舶编号或呼号.....
Distinctive number or letters

船籍港.....
Port of registry

总吨位.....
Gross Tonnage

国际海事组织编号^②.....

^①船舶细节可以在表格中横向排列。

Alternatively, the particulars of the ship may be placed horizontally in boxes.

^②按照国际海事组织以 A.1117(30)决议通过的可能经修正的《IMO 船舶编号体系》。

IMO Ship Identification Number Scheme adopted by the Organization by resolution A.1117(30), as may be amended.

IMO number

建造日期
Date of Construction

压载水容量（以 m³ 计）
Ballast Water Capacity (in cubic metres)

使用的压载水管理方法细节

Details of Ballast Water Management Method(s) Used

使用的压载水管理方法
Method of Ballast Water Management used

安装日期^①（如适用）
Date installed (if applicable)

制造商名称（如适用）
Name of manufacturer (if applicable)

该船使用的主要压载水管理方法为：

The principal Ballast Water Management method(s) employed on this ship is/are:

按照 D-1 条款

in accordance with regulation D-1

按照 D-2 条款

in accordance with regulation D-2

（陈述）

(describe)

该船应符合 D-4 条款

the ship is subject to regulation D-4

根据第____条的其他方法

other approach in accordance with regulation

^① 参见 IMO 制定的《国际压载水管理证书（IBWMC）统一解释》（BWM.2/Circ.66/Rev.3）。

Refer to Unified interpretation of Appendix I (Form of the International Ballast Water Management Certificate) of the BWM Convention adopted by the Organization (BWM.2/Circ.66/Rev.3).

兹证明：

THIS IS TO CERTIFY:

1 已按照本公约附则第 E-1 条对该船进行检验；和

That the ship has been surveyed in accordance with regulation E-1 of the Annex to the Convention; and

2 检验表明该船的压载水管理符合本公约附则的要求。

That the survey shows that Ballast Water Management on the ship complies with the Annex to the Convention.

本证书在_____前有效，但须进行本公约附则第 E-1 条规定的检验。

This certificate is valid until_____ subject to surveys in accordance with regulation E-1 of the Annex to the Convention.

检验完成日期为： _____ (dd/mm/yyyy)

Completion date of the survey on which this certificate is based: dd/mm/yyyy

本证书在.....颁发。

(发证地点)

Issued at

(Place of issue of certificate)

.....
(发证日期)

(Date of issue)

.....
(获正式授权发证官员签名)

Signature of authorized official issuing the certificate)

(发证机关盖章或钢印)

(Seal or stamp of the authority, as appropriate)

年度及中间检验的签署

ENDORSEMENT FOR ANNUAL AND INTERMEDIATE SURVEY(S)

兹证明在本公约第E-1条要求的检验中查明该船符合本公约的有关规定。

THIS IS TO CERTIFY that a survey required by regulation E-1 of the Annex to the Convention the ship was found to comply with the relevant provisions of the Convention:

年度检验: 签字:
(获正式授权官员的签名)

Annual survey: Signed
(Signature of duly authorized official)

地点:
Place

日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

年度*/中间检验*: 签字:
(获正式授权官员的签名)

Annual*/Intermediate survey*: Signed
(Signature of duly authorized official)

地点:
Place

日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

年度*/中间检验*: 签字:
(获正式授权官员的签名)

Annual*/Intermediate survey*: Signed
(Signature of duly authorized official)

地点:
Place

日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

年度检验: 签字:
(获正式授权官员的签名)

Annual survey: Signed
(Signature of duly authorized official)

地点:
Place

日期:

Date.....

(发证机关盖章或钢印)

(Seal or stamp of the authority, as appropriate)

* 视情删除。

Delete as appropriate.

第 E-5.8.3 条规定的年度/中间检验

ANNUAL/INTERMEDIATE SURVEY

IN ACCORDANCE WITH REGULATION E-5.8.3

兹证明在按本公约第 E-5.8.3 条规定的年度/中间*检验中查明该船符合本公约的有关规定:

THIS IS TO CERTIFY that, at an annual/intermediate* survey in accordance with regulation E-5.8.3 of the Annex to the Convention, the ship was found to comply with the relevant provisions of the Convention:

签字:

(获正式授权官员的签名)

Signed

(Signature of authorized official)

地点:

Place.....

日期:

Date.....

(发证机关盖章或钢印)

(Seal or stamp of the authority, as appropriate)

* 视情删除。

Delete as appropriate.

第 E-5.3 条所适用的对有效期小于 5 年的证书的展期签注

ENDORSEMENT TO EXTEND THE CERTIFICATE IF VALID

FOR LESS THAN 5 YEARS WHERE REGULATION E-5.3 APPLIES

该船符合本公约的有关规定, 本证书应按本公约附则第 E-5.3 条在_____之前被接受为有效。

The ship complies with the relevant provisions of the Convention, and this Certificate shall, in accordance with regulation E-5.3 of the Annex to the Convention, be accepted as valid until.....

签字:

(获正式授权官员的签名)

Signed

(Signature of authorized official)

地点:
Place
日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

在已完成换证检验并且第 E-5.4 条适用时的签注
ENDORSEMENT WHERE THE RENEWAL SURVEY HAS BEEN
COMPLETED AND REGULATION E-5.4 APPLIES

该船符合本公约的有关规定, 本证书应按照本公约附则第 E-5.4 条在_____之前被接受为有效。

The ship complies with the relevant provisions of the Convention and this Certificate shall, in accordance with regulation E-5.4 of the Annex to the Convention, be accepted as valid until

签字:
(获正式授权官员的签名)
Signed

地点:
Place
日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

第 E -5.5 或 E-5.6 条适用时直至抵达港口和在某一宽限期内的证书展期签注
ENDORSEMENT TO EXTEND THE VALIDITY OF THE CERTIFICATE UNTIL
REACHING THE PORT OF SURVEY OR FOR A PERIOD OF GRACE
WHERE REGULATION E-5.5 OR E-5.6 APPLIES

本证书应按本公约附则第 E-5.5 或 E-5.6* 条在_____之前被接受为有效。
This Certificate shall, in accordance with regulation E-5.5 or E-5.6* of the Annex to the Convention, be accepted as valid until

签字:
(获正式授权官员的签名)
Signed

地点:
Place
日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

* 视情删除。
Delete as appropriate.

在第 E-5.8 条适用时将周年日提前的签注
ENDORSEMENT FOR ADVANCEMENT OF ANNIVERSARY DATE
WHERE REGULATION E-5.8 APPLIES

按本公约附则第 E-5.8 条，新的周年日为：_____。

In accordance with regulation E-5.8 of the Annex to the Convention the new Anniversary date is.....

签字：.....
(获正式授权官员的签名)
Signed
(Signature of authorized official)
地点：.....
Place
日期：.....
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

按本公约附则第 E-5.8 条，新的周年日为：_____。

In accordance with regulation E-5.8 of the Annex to the Convention the new Anniversary date is.....

签字：.....
(获正式授权官员的签名)
Signed
(Signature of authorized official)
地点：.....
Place
日期：.....
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

Appendix 2
《国际压载水管理符合证明》格式

**FORM OF INTERNATIONAL BALLAST WATER
MANAGEMENT STATEMENT OF COMPLIANCE**

国际压载水管理符合证明

INTERNATIONAL BALLAST WATER MANAGEMENT STATEMENT OF COMPLIANCE

经_____申请，作为给有关方的资料，

（有关方全称）

由中国船级社根据《国际船舶压载水和沉淀物控制与管理公约》（此后称“本公约”）的规定签发。

Issued, for the information of interest parties, under the provisions of the International Convention for the Control and Management of Ship's Ballast Water and Sediments (hereinafter referred to as "the Convention") under the application of

.....
(full designation of the ship's owner)

by China Classification Society.

船舶细节^①

Particulars of ship

船名.....
Name of ship

船舶编号或呼号.....
Distinctive number or letters

船籍港.....
Port of registry

总吨位.....
Gross Tonnage

国际海事组织编号^②.....
IMO number

建造日期

^①船舶细节可以在表格中横向排列。

Alternatively, the particulars of the ship may be placed horizontally in boxes.

^②按照国际海事组织以 A.1117(30)决议通过的可能经修正的《IMO 船舶编号体系》。

IMO Ship Identification Number Scheme adopted by the Organization by resolution A.1117(30), as may be amended.

Date of Construction

压载水容量 (以 m³ 计)
Ballast Water Capacity (in cubic metres)

使用的压载水管理方法细节

Details of Ballast Water Management Method(s) Used

使用的压载水管理方法.....
Method of Ballast Water Management used

安装日期^① (如适用)
Date installed (if applicable)

制造商名称 (如适用)
Name of manufacturer (if applicable)

该船使用的主要压载水管理方法为:

The principal Ballast Water Management method(s) employed on this ship is/are:

按照 D-1 条款
in accordance with regulation D-1
按照 D-2 条款
in accordance with regulation D-2
(陈述)
(describe)
该船应符合 D-4 条款
the ship is subject to regulation D-4
按照第____条的其他方法
other approach in accordance with regulation.....

^① 参见 IMO 通过的《国际压载水管理证书 (IBWMC) 统一解释》 (BWM.2/Circ.66/Rev.3)。

Refer to Unified interpretation of Appendix I (Form of the International Ballast Water Management Certificate) of the BWM Convention adopted by the Organization (BWM.2/Circ.66/Rev.3).

兹证明：

THIS IS TO CERTIFY:

1 已按照本公约附则第 E-1 条对该船进行检验；和

That the ship has been surveyed in accordance with regulation E-1 of the Annex to the Convention; and

2 检验表明该船的压载水管理符合本公约附则的要求。

That the survey shows that Ballast Water Management on the ship complies with the Annex to the Convention.

本符合证明在_____前有效，但须进行本公约附则第 E-1 条规定的检验。

This Statement of Compliance is valid until_____ subject to surveys in accordance with regulation E-1 of the Annex to the Convention.

本符合证明依据的检验地完成日期为： _____ (dd/mm/yyyy)

Completion date of the survey on which this Statement of Compliance is based:
dd/mm/yyyy

本符合证明在.....颁发。

(发证地点)

Issued at

(Place of issue of certificate)

.....
(发证日期)

(Date of issue)

.....
(发证官员签名)

Signature of authorized official issuing the certificate)

(发证机关盖章或钢印)

(Seal or stamp of the authority, as appropriate)

日期：

Date.....

(发证机关盖章或钢印)

(Seal or stamp of the authority, as appropriate)

* 视情删除。

Delete as appropriate.

**第 E-5.8.3 条规定的年度/中间检验
ANNUAL/INTERMEDIATE SURVEY
IN ACCORDANCE WITH REGULATION E-5.8.3**

兹证明在本公约第 E-5.8.3 条规定的年度/中间*检验中查明该船符合本公约的有关规定：
THIS IS TO CERTIFY that, at an annual/intermediate* survey in accordance with regulation E-5.8.3 of the Annex to the Convention, the ship was found to comply with the relevant provisions of the Convention:

签字：

(获正式授权官员的签名)

Signed

(Signature of authorized official)

地点：

Place.....

日期：

Date.....

(发证机关盖章或钢印)

(Seal or stamp of the authority, as appropriate)

* 视情删除。

Delete as appropriate.

**第 E-5.3 条所适用的对有效期小于 5 年的符合证明的展期签注
ENDORSEMENT TO EXTEND THE STATEMENT OF COMPLIANCE IF VALID
FOR LESS THAN 5 YEARS WHERE REGULATION E-5.3 APPLIES**

该船符合本公约的有关规定，该符合证明在按本公约附则第 E-5.3 条在_____之前被接受为有效。

The ship complies with the relevant provisions of the Convention, and this Statement of Compliance shall, in accordance with regulation E-5.3 of the Annex to the Convention, be accepted as valid until.....

签字：

(获正式授权官员的签名)

Signed

(Signature of authorized official)

地点:

Place

日期:

Date.....

(发证机关盖章或钢印)

(Seal or stamp of the authority, as appropriate)

在已完成换证检验并且第 E-5.4 条适用时的签注

ENDORSEMENT WHERE THE RENEWAL SURVEY HAS BEEN COMPLETED AND REGULATION E-5.4 APPLIES

该船符合本公约的有关规定, 该符合证明在按照本公约附则第 E-5.4 条在_____之前被接受为有效。

The ship complies with the relevant provisions of the Convention and this Statement of Compliance shall, in accordance with regulation E-5.4 of the Annex to the Convention, be accepted as valid until

签字:

(获正式授权官员的签名)

Signed

(Signature of authorized official)

地点:

Place

日期:

Date.....

(发证机关盖章或钢印)

(Seal or stamp of the authority, as appropriate)

第 E -5.5 或 E-5.6 条适用时直至抵达港口和在某一宽限期内的符合证明展期签注

ENDORSEMENT TO EXTEND THE VALIDITY OF THE STATEMENT OF COMPLIANCE UNTIL REACHING THE PORT OF SURVEY OR FOR A PERIOD OF GRACE WHERE REGULATION E-5.5 OR E-5.6 APPLIES

该符合证明应按照本公约附则第 E-5.5 或 E-5.6*条的要求, 在_____之前被接受为有效。

This Statement of Compliance shall, in accordance with regulation E-5.5 or E-5.6* of the Annex to the Convention, be accepted as valid until

签字:

(获正式授权官员的签名)

Signed

(Signature of authorized official)

地点:

Place

日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

* 视情删除。
Delete as appropriate.

在第 E-5.8 条适用时将周年日提前的签注
ENDORSEMENT FOR ADVANCEMENT OF ANNIVERSARY DATE
WHERE REGULATION E-5.8 APPLIES

按照本公约附则第 E-5.8 条, 新的周年日为: _____。
In accordance with regulation E-5.8 of the Annex to the Convention the new Anniversary date is.....

签字:
(获正式授权官员的签名)
Signed
(Signature of authorized official)

地点:
Place
日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)

按照本公约附则第 E-5.8 条, 新的周年日为: _____。
In accordance with regulation E-5.8 of the Annex to the Convention the new Anniversary date is.....

签字:
(获正式授权官员的签名)
Signed
(Signature of authorized official)

地点:
Place
日期:
Date.....

(发证机关盖章或钢印)
(Seal or stamp of the authority, as appropriate)