

## PR 9 "Procedural Requirements for ISM Code Certification"

### Part A. Revision History

Version no.	Approval date	Implementation date when applicable
Rev.1 Corr.1 (Mar 2010)	-	-
Rev.1 (Jan 2010)	22 January 2010	1 July 2010
Rev.0 (July 2009)	1 July 2009	1 July 2009

#### • Rev.1 Corr.1 (Mar 2010)

##### .1 Origin for Change:

- Suggestion by IACS member
- Based on IMO Regulation (Res. A.1022(26))

##### .2 Main Reason for Change:

As IMO resolution A.913(22) has been superseded by A.1022(26), the reference to A.913(22) should be changed.

##### .3 History of Decisions Made:

EG/ISM-ISPS Chairman proposed that references to A.913(22) should be updated within PR9. Therefore the reference to A.913(22) is corrected to A.1022(26) in 'Introduction', 2.1.1, 2.1.6 (v) and Annex 2 paragraph 1.

##### .4 Other Resolutions Changes

None.

##### .5 Any dissenting views

None.

##### .6 Dates:

Original Proposal: 19 March 2010  
Circulated to GPG on 26 March 2010 (ref: 9668\_IAb)

#### • Rev.1 (Jan 2010)

##### .1 Origin for Change:

- Other (*Routine revision and updating*)

## **.2 Main Reason for Change:**

Review and amendment based on experience gained in the provision of audit and certification services.

## **.3 History of Decisions Made:**

The review took place during several meetings of the ISM/ISPS Expert Group (EGs 1, 2, 3, 4, and 5). Amendments were further developed in intersessional correspondence and the final version was produced following EG 6.

## **.4 Other Resolutions Changes**

None.

## **.5 Any dissenting views**

None.

## **.6 Dates:**

Original Proposal: *1 December 2009, made by EG/ISM-ISPS*  
GPG Approval: *22 January 2010 (Ref. 9668\_IGc)*

- **Rev.0 (July 2009)**

As part of a review of all PRs in July 2009, PR9 was re-issued as Rev.0 July 2009.

## Part B. Technical Background

List of Technical Background (TB) documents for PR9:

Annex 1. **TB for Rev.1 (Jan 2010)**

See separate TB document in Annex 1.



**Note:**

*1) There are no separate Technical Background (TB) documents for PR9 Rev.0 (Jul 2009) and Rev.1 Corr.1 (Mar 2010).*

*2) Technical background documents for versions of PR9 issued prior to July 2009 can be found in the section 'TBs for PRs issued prior to 1 July 2009'.*

## **Technical Background for PR9 Rev.1, Jan 2010**

### **1. Scope and objectives**

PR9 establishes procedural requirements for the planning, preparation, conduct, reporting and follow-up of ISM audits and the issue, endorsement and withdrawal of ISM certificates.

### **2. Summary of Changes intended for the revised Resolution:**

Numerous detailed amendments have been made to reflect recent experience in the provision of ISM certification services and to correct weaknesses identified in the preceding version.

### **3. Points of discussions or possible discussions**

All sections of the PR were subjected to review and amendment to varying degrees.